



GNVPN.019B1USA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of  
James M. Wilson

) Group Art Unit: 1632

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) Examiner: Ram Shukla

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Appln. No. 09/757,673

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Filed: January 10, 2001

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For: METHOD FOR RECOMBINANT  
ADENO-ASSOCIATED VIRUS-  
DIRECTED GENE THERAPY

)

)

) February 10, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant submits to the Examiner the attached Form PTO/SB/08A document listing and this paper pursuant to 37 CFR § 1.56 and § 1.97-1.98. Form PTO/SB/08A is attached and a copy of the documents are enclosed herewith. This Information Disclosure Statement is submitted with a Request for Continued Examination in the above-identified application, and before receipt of a first Office Action on the merits. No fees are believed due.

The Director is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees to our Deposit Account Number 08-3040.

EXPRESS MAIL NO. EU531575555US

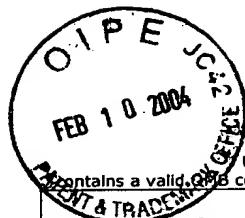
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The Examiner is respectfully requested to consider the enclosed documents identified in this paper and in the attached Form PTO/SB/08A during the course of examination of this application.

Respectfully submitted,

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

				<b>Complete if Known</b>	
				Application Number	09/757,673
				Filing Date	January 10, 2001
				First Named Inventor	James M. Wilson
				Group Art Unit	1632
				Examiner Name	Ram Shukla
Sheet	1	of	1	Attorney Docket Number	GNVPN.19B1USA

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
AAI		US-6,503,888 B1	01-07-2003	Kaplitt et al	
AAJ		US-6,270,996 B1	08-07-2001	Wilson et al	
AAK		US-6,482,634 B1	11-19-2002	Wilson et al	
AAL		US-5,434,058 B1	07-18-1995	N. Davidson	
AAM		US-5,962,313 B1	10-05-1999	Podsakoff et al	
AAN		US-6,506,379 B1	06-006-2001	Clackson et al	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (08-00)  
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OMB 0651-0031

U.S. Patent and Trademark Office;  
U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	09/757,673
				Filing Date	January 10, 2001
				First Named Inventor	James M. Wilson
				Group Art Unit	1632
				Examiner Name	Ram Shukla
Sheet	2	of	2	Attorney Docket Number	GNVPN.19B1USA

<b>OTHER PRIOR ART-NONPATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item, (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), column/row number(s), publisher, city and/or country where published	T <sup>2</sup>
	BBR	G. PODSAKOFF ET AL, Long-Term in Vivo Gene Expression in Muscle Using AAV Vectors, Blood, Vol. 86, No. 10, Supplement 10, (November 15, 1995)	

Examiner Signature		Date Considered	
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETE FORMS TO THIS ADDRESS.

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